

Intro

The CME highlighted the below requirements, but you must comply with all applicable terms, including the most recent CME Information License Agreement on the CME's web site, also currently located here: <https://www.cmegroup.com/files/download/information-license-agreement-schedule-5-2021.pdf>. This Information License Agreement also contains definitions and more details about the below highlighted requirements.

Requirements Highlighted by the CME

Subscribers:

- a) must not redistribute Information outside of Subscriber Group, provided that Subscriber may distribute limited extracts of Information on an occasional basis to its customers subject to Information Policies, provided that such information: (i) consists of one value per tenor per instrument with no other depth of book; (ii) is provided manually; and (iii) is provided on a push basis only. CME may determine in its sole discretion whether any distribution meets the requirements of this provision;
- b) must not misrepresent Information or deface or remove any trademarks transmitted with Information;
- c) must not use Information for any illegal purpose;
- d) must not use Information for Non-Display Use unless License is licensed to provide Managed Non-Display Use;
- e) must not use the Information or any portion thereof in the creation, distribution, settlement or maintenance of any derivative work (including but not limited to financial products, indexes, quotes, spot prices, curves, surfaces, contracts for difference (CFDs) and other leveraged products, indicative optimized portfolio values (IOPV), net asset value (NAV), or analytical reference figures or values calculated from Information for purposes of fund administration and portfolio management services, risk management services or valuation services based on the Information) unless Subscriber is licensed to do so by CME;
- f) must recognize all Intellectual Property Rights as acknowledged between Licensee and CME.
- g) must maintain all records and provide all information required by each Licensee Group entity to meet Licensee's record-keeping, reporting and payment obligations to CME;
- h) all Subscriber Agreements must require Subscriber to maintain complete and accurate books and records, relating to all access to and use of, Information under the Subscriber Agreement for the most recent five (5) year period;
- i) must allow CME or any auditors acting on behalf of CME to audit Subscriber records and use of Information;
- j) shall obtain and provide any consent that may be required under all applicable laws, including the EU's General Data Protection Regulation, as may be needed for CME or any auditors acting on behalf of CME to review and receive Personal Data, including but not limited to Personal Data pertaining to Subscribers or Schedule 5 To Information License Agreement ILA5-A308693 Distribution of Information by Licensee

ILA Schedule 5 (v.3.00) Page 7 of 12 1 January 2021 representatives of Licensee group, where requested by CME for purposes of verifying or ensuring compliance with Licensee's obligations to CME or where requested by regulatory authority or pursuant to a valid court order, subpoena, or other legal instrument;

k) agrees that in addition to any other remedy, any Licensee Group entity may immediately suspend or terminate distribution of Information to Subscriber if Licensee or any Licensee Group entity has reason to suspect noncompliance with any of the Subscriber Agreement terms or if Licensee is required by CME to do so for any reason;

l) shall not distribute or permit distribution of Information to any entity located in any country subject to comprehensive sanctions by the Office of Foreign Assets Control and/or identified on the U.S. Department of the Treasury's Specially Designated Nationals and Blocked Persons List;

m) acknowledges and agrees that to the maximum extent permitted by law, no CME Group entity nor any of their respective officer, directors, member, employees, agents, consultants or licensors shall have any liability to any Subscriber Group entity arising from use of Information;

n) acknowledges and agrees that CME is a third-party beneficiary of the Subscriber Agreement, is entitled to the rights and benefits thereunder, and may enforce the provisions of the Subscriber Agreement directly against Subscriber as if it were a party thereto; and

o) Acknowledge and agree that use of any Semi-Automated Trading System, by any Subscriber other than a Non-Professional Subscriber, requires a license directly between Subscriber and CME.

Please see the CME Information License Agreement at CME's web site for the most current version and any applicable definitions, also currently located here:

<https://www.cmegroup.com/files/download/information-license-agreement-schedule-5-2021.pdf>