

## FP206 Update 1 - 28th March 2019

### Focal Points Service Enhancements Bulletin

**ACTION REQUIRED**

*This bulletin covers the following changes:*

FP Ref #	Change Description	Effective Date	IDS	EXS	EXB	IDL	T&C (BE)	Page
<a href="#">2016</a>	MiFID II Reportable Instrument	15 <sup>th</sup> April 2019	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3

*Type of change or update:*

FP Ref #	Change Description	New setting(s)	Removal of Data Item(s)	Amendment of Data Format	Amendment of Data Setting(s)	Amendment of Definition/Description	New Source	Decommission of source	Information/Other	New data item(s)	Page
2016	MiFID II Reportable Instrument	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3

**2016 - MiFID II Reportable Instrument**

Change Summary
<p>In line with the changing timeframes of Brexit, the UK Financial Conduct Authority (FCA) announced that it will not switch over its IT systems to replace ESMA's MiFID systems on the weekend of 30/31 March.</p> <p>The changes detailed in Focal Points 206 will also not be effective on 1<sup>st</sup> April 2019.</p> <p>If the United Kingdom leaves the European Union on 12<sup>th</sup> April 2019 without a deal having been agreed, the transaction reporting obligations specified in MiFID II will change with effect from 15<sup>th</sup> April 2019. There will be different requirements for firms depending on whether they report to the UK FCA or to an EU regulator. Some instruments that were previously reportable across the EU, will be reportable to the UK FCA only.</p> <p>There is a new setting for the MiFID II Reportable Instrument indicator and the definitions of two existing data settings have been amended to flag instruments as reportable UK only, reportable EU only or reportable to UK and EU regulators.</p> <p>The FCA has clarified that UK regulated firms will need to continue reporting on UK AND EU instruments post-Brexit. This means that the <u>full</u> range of MF052 Reportable Instrument settings will need to be used by FCA regulated entities for the correct identification of reportable instruments (settings 1, 2, 3 and 4). For EU regulated entities, settings 1 and 2 will be relevant.</p> <p>If an agreement is reached and/or the dates mentioned above change, a further update to this bulletin will be provided.</p>

Data Item	Data Description
MF052	MiFID II Reportable Instrument

Effective Date	<b>15th April 2019</b>
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Products	<input checked="" type="checkbox"/> IDS	<input type="checkbox"/> EXSHARE	<input type="checkbox"/> EXBOND	<input type="checkbox"/> International DataLine (IDL)
	<input type="checkbox"/> T&Cs (BondEye)			

Type of Change or Update	<input checked="" type="checkbox"/> New Setting(s)	<input type="checkbox"/> Removal of Data Item	<input type="checkbox"/> Decommission of Source
	<input checked="" type="checkbox"/> Amendment of Data Setting(s)	<input type="checkbox"/> Amendment of Definition/Description	<input type="checkbox"/> New Source
	<input type="checkbox"/> New Data Item(s)	<input type="checkbox"/> Amendment of Data Format	<input type="checkbox"/> Information/Other

Initiated By	<input type="checkbox"/> Market	<input checked="" type="checkbox"/> ICE Data Services	<input type="checkbox"/> Source
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Impact / Action Required	Please review the changes to settings described in this item and make the appropriate provisions to utilise the additional data and avoid any impact to your systems and processes.
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Action	Setting	Setting Description
<b>Amend</b>	1	<b>Reportable EU:</b> based on ESMA FIRDS data. (Covers instruments admitted to an EU trading venue.) Formerly: Reportable
<b>Amend</b>	3	<b>Reportable UK:</b> based on UK FCA FIRDS data. (Covers instruments admitted to a UK trading venue. Does not cover UK instruments that are also admitted to an EU trading venue. ) Formerly: Insufficient Reference Data.
<b>Add</b>	4	<b>Reportable UK &amp; EU:</b> based on UK FCA and ESMA FIRDS data. (Covers instruments admitted to a UK trading venue and instruments admitted to an EU trading venue.)

#### Data Filtering

Users should note that changes resulting from this issue of Focal Points may be filtered into Changes Services over a period of days. This is necessary to prevent daily service volumes becoming excessive for users.

Customers taking Changes Services that require all the amendments in one file should request a Full Service by contacting your relevant Client Service Support Group (Contact details can be found below).

#### Securities Data User Manual

A new Securities Data User Manual incorporating the changes made in this issue of Focal Points will be made available for customers to access online by the effective date of the changes. The documentation will be available to download at the following web link:

<https://www.theice.com/pp/exshare>.

All documentation can be accessed using the password – cm48dk90

Previous issues of Focal Points and Service bulletins can also be found at the same revised web link and accessed the same way.

#### Test Services

Customers should note that the main purpose of providing test files is for the testing of software/systems in terms of data formats and data delivery. As such, most of the data in the test files is example data and will not necessarily replicate the data shown in services on the implementation date.

Service	Content	Available
EXSHARE	Test services with file date ____ will be made available. The files will contain amendments and examples of new data on a selection of records.	Not Applicable
IDS	Test services with file date ____ will be made available. The files will contain amendments and examples of new data on a selection of records.	Not Applicable
EXBOND	EXBOND services	Not Applicable
IDL	Test services with file date ____ will be made available. The files will contain amendments and examples of new data on a selection of records.	Not Applicable

#### Additional Support

If you have questions about this advisory notice, please contact your relevant Client Service Support Group, details listed below

Region	Contact	Telephone	Email (All Regions)
USA	International Bulk and OTC Derivatives Group	+1-770-999-4501 Option 2, Sub-Option 1	<a href="mailto:IDS.PRDSupport@theice.com">IDS.PRDSupport@theice.com</a>
Australia	AP Support	+613 9017 4592 Option 2	<a href="mailto:APACPRDSupport@theice.com">APACPRDSupport@theice.com</a>
Hong Kong	AP Support	+85230188279 Option 2	<a href="mailto:APACPRDSupport@theice.com">APACPRDSupport@theice.com</a>
Singapore	AP Support	+656 817 6211 Option 2	<a href="mailto:APACPRDSupport@theice.com">APACPRDSupport@theice.com</a>
Japan	AP Support	+81345208110 Option 2	<a href="mailto:APACPRDSupport@theice.com">APACPRDSupport@theice.com</a>
EMEA	Production Support EMEA	+44 203 948 6501 Option2, Sub-Option 1	<a href="mailto:EMEAPRDSupport@theice.com">EMEAPRDSupport@theice.com</a>

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