

22 April 2020

## Circular 20/055 MiFIR: On-line IIA Member Reporting Status

**Category**  
Regulations

**Attachments**  
None

The purpose of this Circular is to inform Members that ICE Futures Europe (“the Exchange”) is changing the method by which Members attest to the Exchange their status under the transaction reporting provisions of MiFIR.

MiFIR requires that where a transaction is executed on the Exchange by a Member that is not itself subject to the MiFIR transaction reporting provisions, the Exchange must report the details of the transaction to its regulatory authorities<sup>1</sup>. Accordingly, to discharge this obligation the Exchange must have accurate information on the reporting obligations of its Members under MiFIR.

To improve the Exchange’s control and efficiency over this information the Exchange has modified its ICE Identifier Admin (IIA) application to enable regulatory compliance officers at its Members to securely log in and attest to the Member’s current transaction reporting status under MiFIR and the current LEI of the Member. The Exchange will require all of its Members to perform this attestation annually.

Members should contact the Reporting Operations team at the Exchange to agree the individual who will be granted this new attestation access at the following email address: [Compliance-Europe-MiFIDReporting@TheIce.com](mailto:Compliance-Europe-MiFIDReporting@TheIce.com). Given the significance of this information, the Exchange expects the attestations to be performed by staff with sufficient seniority and expertise in regulatory compliance.

Please ensure that the appropriate members of staff within your organisation are advised of the content of this Circular.

Signed:



Laurence Walton  
Head of Regulation and Compliance

**For more information, please contact:**

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<sup>1</sup> MiFIR - Article 26.5 “The operator of a trading venue shall report details of transactions in financial instruments traded on its platform which are executed through its systems by a firm which is not subject to this Regulation in accordance with paragraphs 1 and 3.”

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