

**RTS 153/2013 10(1)(a): (i) Organisational structure, objectives, strategy (supplementary information)**

**GOVERNANCE**

Board of Directors of ICE Clear Europe Limited (ICEU)

- Chair: Caroline Silver
- President: Hester Serafini
- Senior Independent Director: Andy Mack
- Independent Non-Executive Directors:
  - o Guy Bainbridge
  - o John Abbott
  - o Lynn Johansen
  - o Yves Dermaux
- Non-Executive Directors:
  - o Elizabeth King
  - o Jeffrey Sprecher

Board Committees

- Board Risk Committee:
  - o Chair: Andy Mack
- Client Risk Committee:
  - o Chair: Andy Mack
- Audit Committee:
  - o Chair: Guy Bainbridge
- Nominations and Compensation Committee:
  - o Chair: Caroline Silver

Senior Management Team

- President: Hester Serafini
- Head of Risk: Dale Nolan
- Chief Risk Officer: Mamun Ahmed
- Chief Operating Officer: Daniel Howard

- Chief Technology Officer: Jeremy Venables
- Head of Operations: Robert Lynn
- Head of Treasury: Thomas Kurian
- Head of Regulation and Compliance ('chief compliance officer' for purposes of EMIR): George Milton
- Head of Legal: Charles Lindsay
- Group Finance Director, EMEA: Laurence Turpin

#### **CORE OBJECTIVES**

The core objectives of ICEU are based on the following principles:

- To provide stability to the financial markets while providing fair and open access to clearing and sound

risk management services

- To provide reliable, scalable and innovative clearing technology.
- To prevent, detect, track and mitigate cyber incidents
- To maintain and grow ICEU's clearing and risk management service