



ICE CLEAR U.S., INC.
Clearing Membership Application

April 2025

Intercontinental Exchange
www.ice.com

Enclosed is the application form for firms seeking to become Clearing Members of ICE Clear U.S., Inc. The applicant must also be a member firm of ICE Futures U.S., Inc. to clear contracts traded on that exchange. All Clearing Members must satisfy the operational criteria and requirements established by ICE Clear U.S. for the contracts sought to be cleared.

Please provide the following documents for Clearing Membership. *Submit the original and one copy of all documents and agreements to:*

President
ICE Clear U.S., Inc.
1345 6th Avenue, 7th Floor
New York, NY 10105
(212) 748-4000

Upon receipt of the application, ICE Clear U.S., Inc. will issue an invoice for the application fee of \$1500.

A. Form to be completed:

1. Application for Clearing Membership

B. Documents to be provided:

1. Certificate of Incorporation, bylaws, and all amendments thereto (if the applicant is a corporation), certified by the secretary of the corporation.
2. Partnership Agreement and all amendments thereto (if the applicant is a partnership), certified by a general partner of the partnership.
3. Articles of Organization and Operating Agreement and all amendments thereto (if the applicant is a limited liability company), certified by a member of the limited liability company.
4. Most recent audited financial statement and the three most recent Form 1-FR or FOCUS reports, certified by the Chief Financial Officer.

ICE Clear U.S., Inc.
Application for Membership

Name of Applicant: _____

Taxpayer ID: _____

Clearing Number being requested: _____

We hereby apply to ICE Clear U.S., Inc. for Clearing Membership with respect to contracts traded on ICE Futures U.S. In connection with this application, we hereby furnish the following information:

1. State of Incorporation: _____

(Attach certified copy of the certificate of incorporation, partnership agreement, articles of organization, or other charter documents, including all amendments thereto.)

2. Office maintained pursuant to ICE Clear U.S., Inc. Rule 202(f):

a) Address: _____

b) Telephone: _____

c) Names and titles of officers, general partners, members, etc. located at the office authorized to act pursuant to ICE Clear U.S. Rule 202(b):

Name: _____ Title: _____

Name: _____ Title: _____

Name: _____ Title: _____

Name: _____ Title: _____

Name: _____ Title: _____

3. Persons managing, controlling, and owning the applicant:

a) Management

Function	Name	Business Address
Chief Executive Officer		
Chief Operating Officer		
Officer in charge of Futures unit		
Chief Financial Officer		
Officer, General Partner, Member, etc. responsible for supervision pursuant to ICUS Rule 202(f)		
Secretary		

b) Control

- i. The names of each person who is a member of the Board of Directors or other governing body of the applicant:

Name: _____ Name: _____
 Name: _____ Name: _____
 Name: _____ Name: _____
 Name: _____ Name: _____

- ii. The name of such governing body is: _____

c) Ownership

- i. The name and address of each person who, by reason of share ownership or partnership or membership control, whether direct or indirect, or beneficial or of record, or pursuant to any agreement or understanding or other arrangement or relationship, (i) has contributed or has an interest in 10% or more of our equity, or (ii) has an interest in 10% or more of our income, or (iii) holds 10% or more of our voting power, is as follows:

Name: _____ Percent: _____
 Name: _____ Percent: _____
 Name: _____ Percent: _____

Name: _____ Percent: _____

Name: _____ Percent: _____

Name: _____ Percent: _____

- ii. The names of each other clearing organization of which the applicant is a member or to which the applicant has applied for membership (specify whether applicant is a member or applicant in each case) are as follows:

_____	_____
_____	_____
_____	_____
_____	_____

- iii. The officer, member, manager, or partner to act as the principal contact for the applicant and to whom notices from the Corporation should be addressed is:

Name: _____

Title: _____

Address: _____

Telephone: _____

Email: _____

4. We [are/are not] a party to an agreement or understanding, written or oral, which if consummated would result in a change in any information furnished in item 3 above.

Are

Are Not

5. If the answer to item 4 is in the affirmative and we have not previously advised you thereof, a description of such agreement or understanding is attached hereto:

6. We [are/are not] registered with the Commodity Futures Trading Commission. If yes, please specify the categories in which registered.

Are

Are Not

Section Two: Required ICE Futures U.S. Information

1. Indicate applicant's fiscal year end: _____

Has there been a significant change in the financial condition of the applicant since the latest audited financial statement? _____ If yes, supply an explanation of such change:

2. List the average number of contracts cleared each month during the preceding 12-month period:

Clearing Organization	Position Type Customer/House	Avg. # of Contracts Cleared Daily	Avg. Monthly Total of Cleared Trades

3. List the number of trader memberships guaranteed on other exchanges:

Exchange	Account Type Customer/House	Floor Traders	Floor Brokers

4. The standard position limit granted clearing member applicants is:

Customer Segregated	150% of ANC (Adjusted Net Capital)
Proprietary	75% of ANC
Total	200% of ANC

Please indicate whether the applicant is requesting standard position limits or other (if other, indicate percentages being requested).

5. State the estimated percentage of total business the applicant intends to clear in the following categories:

Customer Segregated _____ %
Proprietary _____ %

We recognize our responsibility to immediately notify you of any changes in the information contained herein and otherwise as specified in the rules and bylaws of ICE Clear U.S. We hereby certify to you that the information furnished herein is complete and correct.

Applicant Name: _____

Signature: _____

Name: _____

Title: _____

Date: _____