

NOTICE 22/008

REMINDER: CLEARING MEMBER KYC/AML RESPONSIBILITIES RELATED TO RUSSIAN SANCTIONS

In the wake of Russia's invasion of Ukraine, the United States, United Kingdom, European Union and other jurisdictions have each issued sanctions that restrict certain transactions with identified Russian institutions and individuals. Sanctions lists and related information published by the United States Department of the Treasury Office of Foreign Assets Control ("OFAC") are available [here](#). Other jurisdictions and authorities have separate sanctions in place which may be of relevance to ICE Clear U.S. ("ICUS") Clearing Members.

In light of these developments, Clearing Members should review all applicable sanctions and ensure that they have taken necessary steps to maintain compliance with them. Clearing Members are reminded that ICUS Rule 215 provides, in part, that if a Clearing Member becomes aware that its customer and/or the beneficial owner of a contract cleared by ICUS is prohibited pursuant to an economic or trade sanctions program administered and enforced by OFAC or is listed on OFAC's List of Specially Designated Nationals and Blocked Persons, such Clearing Member will notify ICUS's legal and/or compliance department as soon as is reasonably practicable or as may otherwise be required by law.

ICUS Rule 215 is available [here](#). The content of this notice should be shared appropriately within your firm to ensure compliance.

FOR MORE INFORMATION:

ICE Clear U.S.
ICEClearUS@ice.com
(212) 748-4001
(312) 836-6777

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Key Information Documents for certain products covered by the EU Packaged Retail and Insurance-based Investment Products Regulation can be accessed on the relevant exchange website under the heading "Key information Documents (KIDS)," commencing January 1, 2019.