



## ICE Endex - Responsible Individual (RI) Registration Form

### ICE ELECTRONIC TRADING PLATFORM

<b>Member Company</b>		<b>Member Mnemonic</b>	
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#### Personal Details (Please complete ALL FIELDS in BLOCK CAPITALS)

<b>Title (Mr/Mrs/Miss etc.)</b>	<b>Surname</b>
<b>Date of Birth (DD/MM/YYYY)</b>	<b>First Name(s)</b>

<b>Home Address</b>	<b>Home Phone</b>
<b>Post Code</b>	<b>Work Phone</b>
<b>Country</b>	<b>Mobile Phone</b>
	<b>E-mail</b>

<b>Jurisdiction where trading from:</b>
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<b>Rulebook(s) under which the individual will act as Responsible Individual:</b>	<input type="checkbox"/> ICE Endex Markets B.V.		
	<input type="checkbox"/> ICE Endex Gas Spot Limited		
<b>Preferred ITM Mnemonic(s) Futures</b> (two letters then number 3 (example AA3))	1st choice <b>3</b>	2nd choice <b>3</b>	3rd choice <b>3</b>
<b>Preferred ITM Mnemonic(s) Spot</b> (two letters then number 5 (example AA5))	1st choice <b>5</b>	2nd choice <b>5</b>	3rd choice <b>5</b>

#### For completion by the individual applying for registration

I confirm that:

- I understand that business conducted on ICE Endex is subject to ICE Endex Rules and other appropriate regulatory requirements and I will always act in a manner which is compliant with the standards required by ICE Endex or a competent authority;
- I shall comply with the applicable ICE Endex Rules;
- I understand that I shall be responsible to ICE Endex for having and continue to have the appropriate decision authority to designate an Administrator to register and / or de-register Traders and shall be responsible to ICE Endex for conduct and / or actions taken or omitted by registered Traders;
- I consent to my personal data being used by ICE Endex and / or transmitted to and from the United States of America for the purpose of operating and monitoring the ICE Trading platform and related purposes.

<b>Signature</b>	<b>Title</b> <b>Name</b>	<b>Date</b>
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#### For completion by the above Member

We confirm that the above named person is suitably and adequately trained and is in a position to fulfil the role and obligations of an ICE Endex Responsible Individual. We herewith confirm that we will only access the ICE Endex Exchange from jurisdictions where ICE Endex has the required regulatory status and that there are no local regulatory restrictions applicable to the proposed trading arrangements with ICE Endex.

<b>Senior Management or Compliance Officer Signature</b>	<b>Title</b> <b>Name</b>	<b>Date</b>
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Please send the completed Responsible Individual Registration Form via postal mail to:

ICE Endex  
Attention: Membership Dept.  
Hoogoorddreef 7  
1101 BA Amsterdam  
The Netherlands