

CIRCULAR

07 NOVEMBER 2017

CIRCULAR E17/048 MIFIR TRANSACTION REPORTING, NEW FUNCTIONALITY OF ICE IDENTIFIER APPLICATION AS PART OF MANDATORY REQUEST FOR ADDITIONAL INFORMATION

CATEGORY

Regulation

MARKET

Derivatives

ATTACHMENT

IIA MFT Access Request Form

In addition to circular 17/125 - MiFIR Transaction Reporting: ICE Identifier Admin Application

Pursuant to the Regulation (EU) 600/2014 (MiFIR), ICE Endex (“the Exchange”) shall as of 3 January 2018:

- Record and keep at the disposal of its National Competent Authority the relevant data relating to all orders in financial instruments which are advertised through its systems (Article 25(2));
- Report the relevant details of transactions in financial instruments traded on its platform, which are executed through its systems by firms that are not themselves subject to MiFIR transaction reporting obligations (Article 26(5)).

In order to support collection of the relevant data relating to orders and transactions, the Exchange is providing to its Members and their non-Member clients a new ICE Identifier Admin application (“IIA”), along with a new Managed File Transfer (“MFT”) directory. The service will allow Members and relevant market participants to:

- Upload .csv file for Short Codes
- Upload .csv files for Profiles

Members and market participants requesting use of the IIA MFT Service should complete the attached IIA MFT Access Request Form and return it to the email address included at the top of the form.

A User Guide for the IIA MFT Service can be found in the MIFID II/MIFIR area of ICE Community [here](#)
More information about accessing ICE Community, see next page.

Please be aware that the Exchange requests the relevant data is received before 8 December 2017. Failure to deliver this data in time creates the risk that the Exchange cannot complete necessary preparations required for your firm in time for the MIFID II implementation. This may result in the consequence of restrictions of services provided to your company as per end 2017.

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ACCESSING ICE COMMUNITY

If you do not have access to ICE Community, please visit: <https://community.theice.com/login.jspa>. Use the “Don’t have an account?” option to start the two step account creation process. Please note that you must use an email address that is set up on your company domain. No @yahoo.com, @gmail.com, @hotmail.com email addresses will be approved for access to ICE Community. Once you have validated your email address you will need to create your account. At that point, you will be required to respond to “Why I need access to ICE Community.” Please use the following reason: “ICE MIFID II Implementation”.

Please ensure that the appropriate members of staff within your organisation and customers are advised of the content of this Circular.

FOR MORE INFORMATION, PLEASE CONTACT:

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