

## Circular E22/009 MiFID/R Transaction Reporting: Update ICE Identifier Admin Application

### Category

Regulatory

### Markets

Energy Derivatives

Further to [Circular E17/040](#), [Circular E17/048](#), [Circular E18/001](#), [Circular E18/008](#) and [Circular E18/018](#) relating to the [MiFID/R transaction reporting requirements](#), ICE Endex Markets B.V. (the "Exchange") is changing the method by which Members confirm to the Exchange the following:

- MiFID Investment Firm status
- Legal Entity Identifier ("LEI")

MiFIR requires that, where a transaction is executed on the Exchange by a Member that is not itself subject to the MiFIR Transaction Reporting provisions, the Exchange must report the details of the transaction to its regulatory authorities.

Accordingly, to discharge this obligation, the Exchange must have accurate information on the reporting obligations of its Members under MiFIR. The ICE Identifier Admin ("IIA") Attestation is a requirement first introduced by ICE Futures Europe ("IFEU") in 2020 to monitor Transaction Reporting provisions and this requirement is now being extended to ICE Endex.

### LEI and MiFID Investment Firm Status

Regulatory Compliance officers from Member Companies will be able to securely log into the IIA application and attest to the Member's current transaction reporting status under MiFIR on the Companies tab in IIA.

Members should note that any entity

- should make an on-line attestation of this information for each Member entity (with a separate company id) representation that exists in the Exchange's systems;
- that is required to report transactions under EU-MiFIR, should attest themselves as a MiFID Investment Firm to the Exchange.

The Attestation portal will be made available to all Members on **1<sup>st</sup> July 2022** and the Exchange requires Members to complete their attestations by **30<sup>th</sup> September 2022**. Many Members have multiple instances of their Member entity in IIA; the Exchange requires attestation for all instances that have a LEI even if that instance is not currently trading.

Many Members will already have an individual with the necessary attestation access. Where this is not the case, the Members should contact the Reporting Operations team at the Exchange to agree the individual who will be granted this new attestation access at the following email address:

[Compliance-Europe-MiFIDReporting@thelce.com](mailto:Compliance-Europe-MiFIDReporting@thelce.com).

Given the significance of this information, the Exchange expects the attestations to be performed by staff with sufficient seniority and expertise in regulatory compliance. Please ensure that the appropriate members of staff within your organisation are advised of the content of this Circular.

### For more information, please contact:

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