

## Circular E24/016 Annual MiFID Investment Firm Status Attestation and LEI Confirmation

### Category

Regulatory

### Markets

Energy Derivatives

MiFIR requires that, where a transaction is executed on ICE Endex (the “Exchange”) by a Member that is not itself subject to the MiFIR Transaction Reporting provisions, the Exchange must report the details of the transaction to its regulatory authority. Accordingly, to discharge this obligation, the Exchange must have accurate information on the reporting obligations of all of its Members.

The purpose of this Circular is to inform Members that the Exchange requires its Members to:

- Re-attest to the Exchange their MiFID Investment Firm Status under Transaction Reporting provisions of MiFIR; and
- Confirm that their Legal Entity Identifier (“LEI”) is correct.

In preparation for this re-attestation, the Exchange has reset the Member Company Attestation in the ICE Identifier Admin (“IIA”) application to enable Members to perform their attestation.

This IIA application serves both ICE Endex and ICE Futures Europe. Accordingly, a Member who is a MiFID Investment Firm under either the EU-MiFIR or the UK-MiFIR should attest themselves as a MiFID Investment Firm under the relevant regime.

The Companies tab in the IIA application is where Members must perform the attestation. Most Members will already have an individual with the necessary attestation access. Where this is not the case the Members should contact the ICE User Administration team at the Exchange to agree the individual who will be granted this new attestation access at the following email address: [iceuseradministration@ice.com](mailto:iceuseradministration@ice.com)

The attestation portal is available to all Members. The Exchange requires Members to complete their attestations by **Monday 30 September 2024**.

### Why do Members have to do this?

The LEI and MiFID Investment Firm Status attestation is a requirement first introduced by the Exchange in 2022. Members should note that any entity required to report transactions under EU-MiFIR or UK-MiFIR should attest themselves as a MiFID Investment Firm to the Exchange.

Given the significance of this information, the Exchange expects the attestations to be performed by staff with sufficient seniority and expertise in regulatory compliance.

Please ensure that the appropriate members of staff within your organization and customers are advised of the content of this Circular.

**For more information, please contact: [compliance-endex@ice.com](mailto:compliance-endex@ice.com)**

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