Corporate Actions Service (via Apex®)

The Corporate Actions Service via Apex provides data in a consistent format with timely, flexible delivery – designed to help firms manage risk exposure across the enterprise.

Timely delivery of corporate actions data is essential to help financial firms support trading operations and to keep clients informed of pending events that may affect their decisions. Failure to properly handle even a single complex corporate action can result in multiple time zones damage and exposure to financial risk.

The Corporate Actions Service via Apex provides comprehensive and timely global content in xml format with exceptional depth of data. The innovative platform is designed to streamline client integration, enabling clients to easily import, track, and process corporate actions.

High performance interfaces

ICE offers a choice of access to its feature-rich Corporate Actions Service. Whether you are processing end-of-day client notifications or intra-day fund accounting, ICE can provide you the information when and how you need it.

- **Scheduled file-based delivery** – user-controlled definition of content delivery in daily or intra-day XML files (including delivery service for specific securities or universal coverage)

Benefits

- **Integrated content** – delivered in a consistent format across all instruments and corporate actions announcements. Files can be easily linked to ICE Reference and Pricing data files.
- **Timeliness** – hourly / 24 files a day delivery available, Monday to Friday, in multiple time zones.
- **Ease of navigation** – allows the user to select attributes of corporate actions data for specific business functions
- **Features to show the audit trail** – key for operational risk management

Service options

ICE understands the challenges of maintaining data across an organization. Many clients employ data warehousing and downstream applications to manage the data capture and update process. ICE is a valued provider of data for a large number of global third party vendors, including corporate actions processing systems, trust and accounting providers and enterprise data management (EDM) suppliers.

The Corporate Actions Service allows clients to choose the types of data and security coverage they wish to receive. Clients can select subsets based on various criteria including:

- **Geography** – delivery options are by market, region (Americas/international) or global
- **Event coverage** – select to receive events or filter by event category
- **Delivery time and format** – select end-of-day or intra- day updates
- **Portfolio tracking** – content can also be filtered on a security-specific or portfolio basis

Comprehensive coverage

ICE's Corporate Actions Service combines corporate actions, dividends, and capital events information from across ICE's service offerings. Coverage includes:

- Approximately 230,000 issuers operating in over 100 countries
- Cross-referencing support across industry identification standards including (but not limited to) CUSIP®, ISIN, and SEDOL®.

Event types

- **Capital changes:** bonus issue, consolidation, enfranchisement, distribution, forward stock split, par value change, recapitalization, redenomination, renominalization, reverse stock split, rights offering, unit split, spin offs
- **Conversions**
- **Dividends:** cash dividend, disbursement, dividend waived, return of capital, scrip dividend, stock dividends
- **Earnings**
- **Identifier changes**
- **Information announcements:** bankruptcy, default, liquidation, name change, new offer, poison pill
- **Listings:** delisting, Initial Public Offerings, listing resumed, listing suspension, new listing
- **Mergers and acquisitions:** merger, merger election, offer to buy, takeover
- **Payments:** cash payment, interest payment, payment waived, return of debt, scrip payment
- **Redemptions:** call, escrow to maturity, fixed redemption, full call, partial call, partial pre-refunded, pre-refunded, repayment, sinking fund redemption
- **Repurchases:** buyback, consent, consent tender, conversion, exchange, exercise, odd lot tender, partial put/retainment option, put mandatory, put optional, relinquishment option, retainment option, tender offer
- **Shareholder meetings:** shareholder meetings, annual general meetings, extraordinary meetings
- **Shares outstanding**

Securities/instruments

- Common and preferred stocks
- American and global depositary receipts (ADRs/GDRs)
- Unit trusts, investment trusts, mutual funds, exchange-traded funds
- Warrants
- Debentures/notes
- Government agency/ government-sponsored enterprise (GSE) securities
- Bonds, notes, bills
- Corporate bonds, municipal bonds, mortgage-backed securities (MBS)

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