

**PART A**

**REPORTING AGREEMENT for EFR Transactions**

Pursuant to WCE Rule 7B.02 c. (6)

The undersigned, \_\_\_\_\_ (type full legal name) being a Clearing Participant with WCE Clearing Corporation (WCECC), in consideration for Winnipeg Commodity Exchange Inc. (WCE) facilitating an Exchange for Risk for it or its client, agrees as follows:

1. To provide full particulars of its client(s) to any and all EFR transactions, including the full legal name, address and contact information (trader name, phone number, fax number, e-mail address, etc), as soon as possible, and in any event no later than 12:00 noon CT two (2) business days after the clearing of an EFR transaction;
2. If the client(s) is an omnibus account, to provide the full legal name, address and contact information (trader name, phone number, fax number, e-mail address, etc) for the client and ensure that the said client has completed part B of this reporting agreement and submitted same to the WCE Regulatory Division.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_ in the city of \_\_\_\_\_ in the Province of \_\_\_\_\_.

\_\_\_\_\_  
Print full legal name of Clearing Participant

\_\_\_\_\_  
Print name of authorized signatory

\_\_\_\_\_  
Signature of authorized signatory

This form should be faxed to WCE Regulatory Division at **(204) 925-5010**, or sent by regular mail to:

WCE Regulatory Division  
400 – 360 Main Street  
Winnipeg, MB  
R3C 3Z4

**PART B**

**REPORTING AGREEMENT for EFR Transactions**

Pursuant to WCE Rule 7B.02 c. (6)

The undersigned, \_\_\_\_\_ (type full legal name) being a \_\_\_\_\_ (type out status of market participant, foreign FCM, etc.), in consideration for Winnipeg Commodity Exchange Inc. (WCE) facilitating an Exchange for Risk for it or for its client, agrees as follows:

1. That if it is an omnibus Futures Commission Merchant (“OFCM”) clearing trades through a Clearing Participant of WCECC, it will provide the Regulatory Division of WCE the full legal name of all its clients that submit EFRs for execution on WCE, together with contact information (individual name, phone number, fax number and e-mail address), as soon as possible, and in any event no later than 12:00 noon CT two (2) business days after the clearing of an EFR.
2. In the case where its client is also an omnibus futures commission merchant, it has ensured that its client has completed this agreement and provided an original of it to the Regulatory Division of WCE prior to facilitating any EFR transactions for the said client.
3. That it is aware of WCE Rule 10D.05 (a) which reads:

**For the purposes of any inspection or investigation, the Regulatory Division may obtain Documents and Records and/or information from any source whatsoever, including from any Participant or Market Participant, or their customers.**

And that it acknowledges the said Rule and the fact that it is bound by it.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_ in the city of \_\_\_\_\_ in the Province of \_\_\_\_\_.

\_\_\_\_\_  
Print full legal name

\_\_\_\_\_  
Print name of authorized signatory

\_\_\_\_\_  
Signature of authorized signatory

This form should be faxed to WCE Regulatory Division at **(204) 925-5010**, or sent by regular mail to:

WCE Regulatory Division  
400 – 360 Main Street  
Winnipeg, MB  
R3C 3Z3