

**APPLICATION FOR FLOOR TRADING PRIVILEGES**

The following documents must be submitted with this form:

- a. An unconditional guarantee form executed by a Clearing Member
- b. Two (2) Sponsor statements (Form F02) from Members of ICE Futures U.S., Inc. with floor trading privileges, at least one of whom participates in the market you intend to trade.
- c. A copy of your NFA application or written confirmation that such registration has been granted by the NFA.

1. Name: \_\_\_\_\_

2. Address: \_\_\_\_\_

3. NFA registration number and category: \_\_\_\_\_

4. Date you last attended an Exchange-accepted ethics course: \_\_\_\_\_

If not at ICE Futures U.S., Inc., supply written confirmation of attendance and a description of the course attended.

5. Indicate your primary floor-trading activity: \_\_\_\_\_ Broker \_\_\_\_\_ Local

6. Indicate the primary markets you intend to trade: \_\_\_\_\_  
\_\_\_\_\_

7. List U.S. commodity exchanges of which you are currently a member, and any exchange of which you were a member within the last ten (10) years. If you currently have floor trading privileges on a particular exchange, please specify the badge number and alpha code.

Exchange	Dates (From/To)	Guaranteed By	Badge #	Alpha Code

8. List present and prior experience on the trading floor:

Exchange	From/To	Position and Description of Duties and Responsibilities

9. Explain the extent and nature of experience you have had in the trade for any commodity:


ACKNOWLEDGEMENT AND CERTIFICATION

I hereby acknowledge that I have read the Exchange's Guidelines on Sexual Harassment.

I certify that the information contained in this application and any attachments hereto are true, complete and accurate. I agree to notify the Exchange of any changes, which may hereafter occur, in any information furnished in the application.

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_  
Date

Member Services Use Only	
Approved by: _____	Date: _____